

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: K. AOTA, et al.

Application No.: Divisional of U.S. Patent Application No. 09/820,231,
filed March 18, 1997

Filed: On even date herewith

For: STRUCTURE BODY OF RAILWAY VEHICLE

Art Group in Parent: 3635

Examiner in Parent: R. Canfield

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

June 23, 2003

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with a prior application of the above-identified application, Application No. 08/820,231, filed March 18, 1997.

Since the listed documents were cited in connection with prior Application No. 08/820,231, which is being relied upon under 35 USC §120 in the above-identified application, copies of the listed documents are not enclosed. See 37 CFR 1.98(d).

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified Divisional application. Accordingly, requirements of 37 CFR 1.97(b) are clearly satisfied.

Note that various of the listed documents, which were previously submitted in Application No. 08/820,231, are discussed in Information Disclosure Statements submitted in No. 08/829,231. Reference to these Information Disclosure Statements

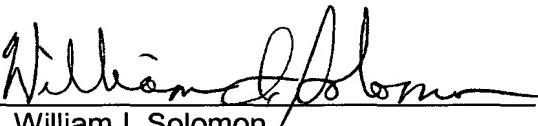
for discussions in connection with, for example, references not in English, is respectfully requested.

In view of all of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with all documents on the enclosed List. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Kindly charge any additional fees due, or credit overpayment of fees, to Deposit Account No. 01-2135 (Case: 503.35255VX7).

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

By 
William I. Solomon
Reg. No. 28,565

Attachments: Form PTO-1449

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				Complete if Known	
				Application Number	Not Assigned Yet
				Filing Date	On even date herewith
				First Named Inventor	AOTA, KINYA
				Art Unit	3635
				Examiner Name	R. Canfield
Sheet	1	of	2	Attorney Docket Number	503.35255VX7

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-5,388,529	1995		Tieberghien et al.	
		US-5,979,742	1999		Enomoto et al.	
		US-3,849,871	1974		Kaunitz	
		US-5,794,835	1998		Colligan, et al.	
		US-5,460,317	1995		Thomas, et al.	
		US-5,140,913	1982		Takeichi, et al.	
		US-5,098,007	1992		Tsuruda, et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)					
		JP 57-112813	1980				
		JP 54-11250	1979				
		JP 7-266068	1995				
		JP 10-216964	1998				
		JP 60-166177	1985				
		JP 51-111925	1975				
		JP 6-156272	1994				
		JP 7-33016	1996				
		JP 0 579 500 A1	1994				
		WO 93/10935	1993				

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known	
				Application Number	Not Assigned Yet
				Filing Date	On even date herewith
				First Named Inventor	AOTA, KINYA
				Group Art Unit	3635
				Examiner Name	R. Canfield
Sheet	2	of	2	Attorney Docket Number	503.35255VX7

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Friction Stir Process Welds Aluminum Alloys, Welding Journal, American Welding Society, Vo. 75, No. 3 (1996)	
		Light Metal Welding Vol. 33, No. 7, pp. 19-26 (1995)	
		Two Prints dated June 23, 1993 showing details from a video from the Welding Institute	
		Verksstaderna Nr 2, pp. 32 and 34 (1996)	
		Advanced Materials & Processes, pp. 22-23, (1993)	
		Metalworking Technology Europe, Sterline Publication, pp. 143-145, (1994)	
		An Introduction to Friction Stir Welding and its Development, by CJ Dawes, Welding & Metal Fabrication, pp. 13-16, (1995)	

Examiner Signature	Date Considered
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